

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DE/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

39-3

U.S. APPLICATION NO. (if known, see 37 CFR 1.6)

**09/554674**INTERNATIONAL APPLICATION NO.  
PCT/EP98/07408INTERNATIONAL FILING DATE  
November 18, 1998 (11.18.98)PRIORITY DATE CLAIMED  
November 21, 1997 (11.21.97)**TITLE OF INVENTION****DOCUMENT CONTROL AND TRANSMISSION SYSTEM****APPLICANT(S) FOR DE/EO/US**

Froessl, Horst

Applicant herewith submits to the United States Designated/Elected Office (DE/EO/US) the following items and other information:

1.  This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2.  This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3.  This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4.  A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5.  A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a.  is transmitted herewith (required only if not transmitted by the International Bureau).
  - b.  has been transmitted by the International Bureau.
  - c.  is not required, as the application was filed in the United States Receiving Office (RO/US). A translation of the International Application into English (35 U.S.C. 371(c)(2)).
6.  Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a.  are transmitted herewith (required only if not transmitted by the International Bureau).
  - b.  have been transmitted by the International Bureau.
  - c.  have not been made; however, the time limit for making such amendments has NOT expired.
  - d.  have not been made and will not be made. A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
7.  An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
8.  A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11. to 16. below concern other document(s) or information included:**

9.  An Information Disclosure Statement under 37 CFR 1.97 and 1.98.

10.  An assignment for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.

11.  A FIRST preliminary amendment.
12.  A SECOND or SUBSEQUENT preliminary amendment.

13.  A substitute specification.

14.  A change of power of attorney and/or address letter.

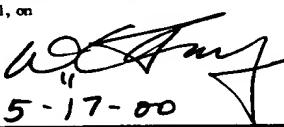
15.  Other items or information:

Small entity statement

**CERTIFICATE OF EXPRESS MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Express Mail, Post Office to Addressee, in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231, on

by Walter C. Farley



5-17-00

Date

Express Mail Receipt No.:

EK749411487US

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17.  The following fees are submitted:**Basic National Fee (37 CFR 1.492(a)(1) - (5)):**

Search Report has been prepared by the EPO or JPO..... \$ 840.00

International preliminary examination fee paid to USPTO (37 CFR 1.482) ..... \$ 670.00

No international preliminary examination fee paid to USPTO (37 CFR 1.482)  
but international search fee paid to USPTO (37 CFR 1.445(a)(2)) ..... \$ 760.00Neither international preliminary examination fee (37 CFR 1.482) nor  
international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$ 970.00International preliminary examination fee paid to USPTO (37 CFR 1.482)  
and all claims satisfied provisions of PCT Article 33(2)-(4) ..... \$ 96.00**ENTER APPROPRIATE BASIC FEE AMOUNT =**

\$ 840

**Surcharge of \$130.00 for furnishing the oath or declaration later than  20  30  
months from the earliest claimed priority date (37 CFR 1.492(e)).**

\$ 0

Claims	Number Filed	Number Extra	Rate	
Total Claims	23 - 20	3	x \$18	\$ 54
Independent Claims	3 - 3	0	x \$78	\$ 0
Multiple dependent claim(s) (if applicable)			+ \$260	\$ 0
<b>TOTAL OF ABOVE CALCULATIONS =</b>			\$ 894	
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).			\$ 447	
<b>SUBTOTAL =</b>			\$ 447	
Processing fee of \$130 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f))		+ \$ 0		
<b>TOTAL NATIONAL FEE =</b>			\$ 447	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property		+ \$ 0		
<b>TOTAL FEES ENCLOSED =</b>			\$ 447	
		Amount to be: Refunded	\$	
		Charged	\$	

- a.  A check in the amount of \$ 447.00 to cover the above fees is enclosed.
- b.  Please charge my deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.
- c.  The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. \_\_\_\_\_. A duplicate copy of this sheet is enclosed.

Note: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b) must be filed and granted to restore the application to pending status.

## SEND ALL CORRESPONDENCE TO:

CUSTOMER NO. 000805

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## SIGNATURE

Walter C. Farley

## NAME

22.624

## REGISTRATION NUMBER